

MODERNA PRODUCTS NV

Supplier Code of Conduct

MODERNA[®] 
creating smart plastics for happy pets

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At Moderna Products NV our mission is to be your global leading service partner for creating innovative pet accessories.

In alignment with our mission and as apart of our ongoing sustainability efforts we have developed the Moderna Products Code of Conduct (“The Code”) to ensure that all of our business partners, suppliers, and manufacturers meet our basic expectations of doing business related to legal requirements, ethical practices, human rights, and environmental management. These standards are based on well-respected and recognized international standards, including the International Labour Organization, United Nations Universal Declaration of Human Rights, and industry best practices.

Compliance with these standards is an expectation of doing business with Moderna Products, as the Code defines the non-negotiable minimum standards that we ask our suppliers and their sub-tier suppliers or sub-contractors (“The Supplier”), to respect and adhere to.

Moderna Products seeks to develop and strengthen partnerships based on a shared commitment of transparency, collaboration, and mutual respect. We recognize that our suppliers are independent businesses and the exclusive employers of their workers. However, the actions of our business partners can be attributed to Moderna Products, affecting not only our reputation, but the level of trust we have worked hard to earn from partners, customers, and pet owners. While Moderna Products appreciates that our suppliers operate in a variety of different legal, geographical, and cultural environments we expect all suppliers to comply with the code, and hope that our suppliers will strive to exceed these standards.

The standards of this code are in addition to, and not in lieu of, the provisions of any legal agreement or contract between a supplier and Moderna Products. The following seven categories encompass the Code of Conduct.

1. Business integrity

Moderna Products is committed to the highest standards of business integrity. We do not tolerate any practice that is inconsistent with the principles of honesty, integrity and fairness, anywhere we do business.

1.1. Requirements

1.1.1. Compliance with the Law

Suppliers' business activities shall comply with all applicable laws and regulations in the countries and jurisdictions in which they operate. This code applies to activities in the locations where suppliers' goods are produced, where any related services are performed, and where the goods enter the supply chain.

1.1.2. Bribery

There is a prohibition of any and all forms of bribery, corruption, extortion or embezzlement and there are adequate procedures in place to prevent bribery in all commercial dealings undertaken by the supplier.

1.1.3. Conflicts of interest

Suppliers will disclose to Moderna Products any situation that may appear to be a conflict of interest, and disclose if a worker from one of their family members, or a friend may have an interest of any kind in supplier's business or any kind of economic ties with supplier.

1.1.4. Freedom of association

Suppliers shall respect the rights of workers to associate or not to associate with any group, as permitted by and in accordance with all applicable laws and regulations.

1.1.5. Records

The supplier shall maintain transparent and up to date books and records to demonstrate compliance with this code and applicable governmental and industry regulations.

1.2. Working Toward

1.2.1. Intellectual property

Supplier respects the intellectual property rights of others. Supplier takes appropriate steps of safeguard and maintain confidential and proprietary information or trade secrets of Moderna Products and uses such information only for the purposes authorized for use by Moderna Products.

2. Human rights

Moderna Products expects our suppliers to conduct their activities in a manner that respects human rights.

2.1. Requirements

2.1.1. Voluntary labour

Supplier employs all workers on a voluntary basis free from any threat of violence, threats of criminal penalty, and restrictions on freedom of movement. Supplier shall not use any prison, slave, bonded, forced, indentured, or debt induced labour, or engage in any other forms of compulsory labour, or any other forms of slavery or human trafficking.

2.1.2. Minimum age

Under no circumstances will supplier employ workers under the age of 15 or under the minimum age for work or mandatory schooling as specified by the local law, whichever is higher. When young workers are employed they must not do work that is mentally, physically, socially or morally dangerous or harmful or interferes with their schooling by depriving them of the opportunity to attend school. In the case of hazardous work, the minimum age is 18.

2.1.3. Employment practices

The supplier shall only employ workers who are legally authorized to work in their facilities, and are responsible for validating workers' eligibility to work through appropriate documentation. All work shall be voluntary, and workers shall be free to leave work or terminate their employment upon reasonable notice.

2.2. Working Toward

2.2.1. Worker documentation

Supplier does not require workers to deposit original identification documents, travel documents or any other personal legal documents upon commencing employment with supplier.

2.2.2. Migrant labour

Supplier recognizes the unique legal, social, and cultural situations that migrant workers face and will ensure that such workers are treated with dignity, respect, and in accordance with the same standards as apply to other workers.

2.2.3. Privacy

The supplier shall respect the privacy rights of its workers whenever it gathers private information or implements worker monitoring practices.

2.2.4. Recruitment & security

Supplier shall ensure that if third-party recruitment agencies are used they are compliant with the provisions of this code and the law. Suppliers recruiting foreign contract workers either directly or through third party agencies shall be responsible for payment of all recruitment-related fees and expenses. When the supplier retains direct or contracted workers to provide security to safeguard its personnel and property, the supplier will make sure that security personnel apply the standards in this code.

3. Fair and equal treatment

Moderna Products believes all workers deserve an equal chance to succeed based on their hard work, talent, and commitment, and should always be treated with fairness and respect.

3.1. Requirements

3.1.1. Discrimination & harassment

All workers all treated with respect and dignity. No worker is subject to any physical, sexual, psychological, verbal harassment, abuse or other form of intimidation. There is no discrimination in employment, including hiring, compensation, advancement, discipline, termination or retirement. Discrimination based on caste, national region, ethnicity, religion, age, disability, gender, marital status, sexual orientation, union membership, political affiliation, health, disability or pregnancy is prevented. In particular, attention is paid to the rights of workers most vulnerable to discrimination.

3.1.2. Grievance mechanism

The supplier shall provide all workers with transparent, fair, accessible and confidential procedures that result in swift, unbiased and fair resolution of difficulties which may arise as part of their working relationship. Workers will be protected from retaliation for submitting such complaints.

3.2. Working towards

3.2.1. Diversity

Moderna Products expects its supplier to demonstrate a diverse workforce composition actively embracing workforce age, gender, race, national or ethnic origin, religion, language, political beliefs, sexual orientation, physical ability and promoting supply chain inclusion throughout their own supply chains.

3.2.2. Transportation

Supplier shall work collaboratively with workers to ensure their adequate access to necessary services. Any transportation provided by the supplier or agent on supplier's behalf must be safe and in compliance with legal requirements. Should supplier relocate the worker from the original point of hiring, the supplier shall provide return passage to the origination location at no charge to the worker.

3.2.3. Discrimination & harassment (expanded)

Supplier does not conduct medical tests on workers that can be used to discriminate (e.g. pregnancy testing). The results of any tests that are required by local legislation shall not be used in a discriminatory way. The supplier shall not use any form of home working arrangement for the production of any Moderna Products branded or affiliate product.

3.2.4. Community

Supplier will ensure due diligence is undertaken to uphold individual, community or indigenous people's established rights to property and land.

4. Benefits, compensation and hours

Moderna Products expects suppliers to provide workers with fair and equal compensation for hours worked, and that all work is conducted on a voluntary basis.

4.1. Requirements

4.1.1. Wages benefits

Supplier pays workers on time, in accordance with legal requirements, and in all circumstances, wages must be paid on at least a monthly basis and in the name of the individual performing the work. Workers are provided with a total compensation package that includes wages, overtime pay, benefits and paid leave with meets or exceeds the legal minimum standards or appropriate prevailing industry standards, whichever is higher. In addition to their compensation for regular hours of work, workers shall be compensated for overtime hours at such premium rate as is legally required in the country of manufacture or, in those countries where such laws do not exist, at a rate at least equal to their regular hourly compensation rate. Compensation terms established by legally binding collective bargaining agreements are implemented and adhered to without basis.

4.1.2. Working time & rest days

The supplier must ensure that its workers work in compliance with all applicable laws and mandatory industry standards pertaining to regular working hours, and overtime hours, including breaks, rest periods, holidays, and maternity and paternity leaves. In absence of law, the supplier shall not require a regular work week over 60 hours, workers shall be allowed at least one day off after six consecutive days of work, and any overtime worked shall be voluntary and compensated at premium rate.

4.2. Working towards

4.2.1. Employment contract

Suppliers, where reasonably possible, must provide all workers (permanent, temporary, seasonal, domestic and migrant) with a written contract in the appropriate language that includes a description of job duties, benefits, disciplinary procedures, and notice periods. Where the provision of a written contract is not reasonably possible or practical, suppliers shall provide workers with a verbal description of the terms of their employment.

4.2.2. Wage statement

Suppliers will provide workers access to an itemized wage statement for each pay period clearly indicating the components of their compensation (hours, pieces, or units produced), including exact amounts for wages, benefits, incentives bonuses, and deductions. Deductions of pay other than those legally mandated, may not be made without the express and written consent of the worker, and under no circumstances may be made as a form of discrimination or punishment.

5. Health and safety

Moderna Products expects the suppliers operations, facilities, and procedures to protect and promote worker health and safety.

5.1. Requirements

5.1.1. Workplace environment

The supplier shall provide its workers with a safe and healthy working environment. At a minimum, potable drinking water, adequate lighting, temperature, ventilation, sanitation facilities, and personal protective equipment must be provided together with equipped work stations at no cost. In addition, facilities must be constructed and maintained in accordance with the standards set by applicable laws and regulations.

5.1.2. Hazardous materials & product safety

The supplier shall identify hazardous materials, chemicals and substances, and ensure their safe handling, movement, storage, recycling, reuse and disposal. Supplier shall comply with material restrictions and product safety requirements set by applicable laws and regulations. Suppliers shall ensure that key workers are aware of and trained in product safety practices.

5.1.3. First aid

Supplier shall establish and maintain appropriate first aid equipment at the facility and make it available to workers at all times. The location of the equipment shall be prominently marked and communicated to workers. Suppliers maintains records of health and safety training and accidents and injuries at the workplace.

5.2. Working towards

5.2.1. Emergency preparedness

The supplier shall be prepared for emergency situations. This includes worker notification and evacuation procedures, emergency training and drills, appropriate first-aid supplies, appropriate fire detection and suppression equipment, and adequate exit facilities. The supplier shall regularly train workers on emergency planning, responsiveness as well as medical care.

5.2.2. Housing conditions

If supplier provides housing it must be voluntary or complementary, and dormitory facilities shall be constructed and maintained in accordance with all applicable laws and regulations, and they shall be clearly segregated from the factory and production area. All dormitory buildings shall be clean and safe and workers shall be able to enter and leave the dormitory buildings freely at any hour. There shall be clean toilet facilities, access to potable water, and sanitary food preparation and storage facilities. All dormitory facilities shall also provide workers with reasonable personal space, adequate heat and ventilation and clean shower and bathrooms.

6. Environmental management

Moderna Products requires its suppliers to comply with all applicable legal environmental requirements and demonstrate continual improvement of its environmental performance.

6.1. Requirements

6.1.1. Environmental permits & reporting

The supplier shall make sure that it obtains, keeps current, and follows the reporting guidelines of all the required environmental permits and registrations to be at any time legally compliant.

6.1.2. Resource consumption, pollution

Prevention & waste minimization

The supplier shall optimize its consumption of natural resources, including energy and water. Supplier shall implement and demonstrate sound measure to prevent pollution and minimize generation of solid waste, wastewater and air emissions. Prior to discharge or disposal, supplier shall characterize and treat wastewater and solid waste appropriately and according to applicable laws and regulations.

6.2. Working towards

6.2.1. Training

Training is provided to all personnel on environmental policies and procedures to ensure effective implementation and compliance.

7. Communication and reporting

Suppliers shall take appropriate steps to ensure the principles of this code are communicated to their workers. Suppliers shall also take appropriate steps to ensure the principles of this code are adopted and applied by their workers, suppliers, agents, and contractors.

7.1. Requirements

7.1.1. Books & records

Suppliers shall maintain accurate and transparent books, records and accounts to demonstrate compliance with applicable laws and regulations and this code.

7.1.2. Audits & assessments

Moderna Products reserves the right to audit compliance with this code. Audits are facility inspections that include worker interviews and a review of supplier records and business practices. Such audits are conducted by Moderna Products or its appointed partner. If an audit identifies a violation of this code, suppliers shall act promptly to correct the situation to Moderna Products satisfaction, with a corrective action plan.

7.1.3. Reporting

Suppliers are responsible for prompt reporting of actual or suspected violations of law, this code, and any contractual relationship with Moderna Products. This includes violations by any worker or agent acting on behalf of either the supplier or Moderna Products. You may report a violation via e-mail using the following address: info@modernaproducts.com or by phone at +32 (0)51 30 23 38.

7.2. Working towards

7.2.1. Origin

The supplier shall be capable to disclose all the potential sources of primary origins (country of origin) associated with goods and services. Moderna Products reserves the right to ask the supplier to create, at a point of time, full supply chain mapping back to origin to facilitate assessment of upstream supply chain compliance.

7.2.2. Communicating & training

Suppliers must inform workers about the expectations contained in this code. This includes prominently posting the code in the workplace in an area accessible to workers, conducting group or individual meetings to review and explain the code, distributing explanatory pamphlets to workers, or communicating through on-line channels.

8. Compliance declaration

We, the undersigned hereby confirm that:

We have received and taken due note of the contents of the Moderna Products Code of Conduct;

We are aware of all relevant laws and regulations of the countries in which our company operates;

We will report to Moderna Products any case of violations of the code.

We will comply with the Moderna Products Code of Conduct requirements based on a development oriented approach and without amendment or abrogation.

We will inform all of our workers and subcontractors of the content of the Moderna Products code.

COMPANY NAME:

INDIVIDUAL NAME AND TITLE:

DATE & PLACE:

SIGNATURE:

This document must be signed by an authorized representative of the supplier & returned to purchase@modernaproducts.com.